



**STRATA SpA**  
Viale Castro Pretorio, 124  
00185 Rome  
VAT no. 04133671000  
Fiscal Code no. 10086440152

**Administrative office**  
Tel +39 06/4468062  
Fax +39 06/4467292

**Enquiries and Assistance**  
Tel +39 06/4466656  
Fax +39 06/4467376

**www.strataspa.net**  
amministrazione@strataspa.net  
agi@strataspa.net



Global Legal Advisor

## Our Figures

Active since **1971**

Over **100** consultancies  
for major infrastructural projects

More than **150** clients, between  
private and public

Certified **ISO 9001**



## About Us

STRATA S.p.A. is a legal-administrative consulting firm that provides specialised assistance and consultancy services to private entities and public administrations **since 1971**.

STRATA offers its assistance to private entities, industrial groups, construction companies and public administrations for the implementation of public works projects, local public services, organisation of programs and infrastructural interventions, even through project financing.

The high specialisation of STRATA's professionals and the multidisciplinary organisation of its structure allow the firm to assist its clients throughout the entire implementation of their projects, **from the planning phase until the final testing stage**.

STRATA can count on many European partnerships as well as act as advisor for the promotion and finalisation of investments in extra European countries.



## Legal Consulting

### Public Procurements

STRATA operates in the field of public procurements in the following sectors:

- Major Projects
- Public works
- Services
- Procurements

The firm addresses juridical and administrative matters related to the execution of public works, through public tenders, license agreements, project financing, general contracting and any other mean provided for by applicable law. STRATA's clients include large public and private companies, public administrations and local healthcare companies.

The legal and administrative assistance granted by STRATA concerns every phase of the process, from the contract awarding to the testing phase and final acceptance of the work:

- Contract Awarding
- Expropriations and Land Occupation
- Opinions, Permits, Environmental Impact Assessment
- Labour safety and security legislation
- Services Conferences and Agreements
- Contractors and Sub-Contractors Relationship Management
- Assistance and Support during Tender Evaluations
- Pre-Litigation Procedures



### Legislative Research and Monitoring

The current situation is characterised by a series of regulatory legislative reforms which are significantly changing the **public works** sector, public administration, local government, land use planning and urban planning.

STRATA's goal is to provide to its clients a regulatory monitoring service on the areas of interest through:

- **Initial interpretations** and assessment of possible solutions; parliamentary developments by periodic emails;
- **Monitoring** of case law and legal doctrine on specific topics of interest for the client; • **Feedback** on laws enacted or to be enacted by the European Parliament and pending approvals concerning corporate structure.
- **Review** of current legislative initiatives and updates on

Our services include direct assistance with regard to specific issues or problems of pre-eminent concern to our clients.

STRATA provides accounts and explanatory opinions on the contents of laws newly enacted by the Italian Parliament and the examination of emerging issues as follows:

- Identification of the possible regulatory strategic frameworks and formulation of proposals for new legal rules or new interpretation of the applicable law;
- Draft of the technical documentation and explanatory dossiers; participation in round tables and conferences, etc.;
- Monitoring of proposals' progress, clarifications, data and information deemed necessary for the rapid enforcement or reinterpretation of the existing legislation.



### Business Consulting

The new role of the **European Union**, the increase of the competition, the administrative decentralisation and the new organization of the public apparatus compel companies to acquire adequate specialized support in their interaction with the public administration.

In this regard, STRATA provides to its clients assistance for the followings:

- Participation to Procurement Procedures and Bid Preparation;
- Selection and Assistance for the Implementation of partnerships with other companies (Consortia, Joint ventures, European Economic Interest Groups);
- Contractual Relations with the Employer and the Subcontractors;
- Definition of the legal-administrative aspects of the different project phases;
- Subcontracting;
- Works Management;
- Collateral Activities;
- Pre-Litigation Procedures;
- Organisation and Management of Internal Legal Services.



### Local Public Services

The legislation evolution, the need to privatise and the new horizons opening to local public services require a **multidisciplinary approach** that combines aspects of both private and public law.

In this sector STRATA provides its clients with legal and administrative support with regards to:

- Transformation of particular public entities into companies;
- Public tenders management;
- Incorporation of companies with the participation of public and private parties, for the management of the services;
- Service contracts;
- Equity assessments.
- Legal and administrative support for the implementation of institutions providing social services;

## > Legal Consulting



### Financial Consulting

Financial resources are essential for the realisation of infrastructure. STRATA provides assistance for the access to public, private, European and international funds. Our services pertain to the financial and administrative aspects related to the obtainment of the financial resources required for the implementation of projects:

- Consultancy and assistance in locating funding opportunities and predisposition of the forms, with related documentation, necessary for the submission of the relevant requests to the competent authorities;
  - Economic and administrative assistance for the obtainment of the financing from national agencies and credit institutions, loans issued by the European Investment Bank and grants from the European Regional Development Fund, including analysis of the access to private capital, favourable credit terms and leasing options;
  - Preparation and submittal to the competent national and European authorities and/or to private entities of the relevant requests, as well as preparation and presentation of the required documents, technical dossiers and cost-benefit analyses;
  - Legal-administrative assistance to promoters necessary for the definition and presentation of project financing proposals for the realisation of public works or utilities.
- Additional services:**
- Participation to meetings for the presentation and assessment of project financing proposals and monitoring of the relevant procedure;
  - Fulfillment of activities and formalities ensuing the applications' approval, necessary for the supply of the loans.



### Human Resources Training

Training and constant update are a key factor of development for both private and public organisations. With specific reference to the legal-administrative area, STRATA provides highly qualified organisational surveys aimed at the development and qualification of the internal resources in the relevant sectors.

The above training and organisational activity include:

- a) Selection of suitable personnel;**
- b) Staff training through specialised stages;**
- c) Organisation and support of the internal legal-administrative services;**
- d) Promotion and holding of in-depth specialised seminars on the following: urban planning, expropriations, environment, safety and security, custody procedures, procurements' management and licenses;**
- e) Updates on legal regulations.**



### Legal Due Diligence

STRATA provides assistance with legal the Due Diligence required during the **purchase, disposal and development of real estate.**

In that context, the firm provides the assistance necessary to verify the compliance with urban standards, materials and building procedures as well as those required for the identification of legal and administrative issues that may arise during the same, necessary in any case for the definition and formalization of the projects.





## Areas of Practice



### Roads, Highways

STRATA provides specialized technical counsel and assistance necessary for the management of the development procedures of highly important and strategic roads and highways on the national and international territory, whether under procurement regime, construction license or project financing.

The high level of specialisation and the valuable competences acquired enable STRATA to grant its cooperation for the entire development of the project, from the planning phase until the eventual testing.



### Railways

STRATA provides legal-administrative assistance and advice for complex construction projects concerning high capacity and high speed rail networks – a sector in which the company has been active for over two decades – as well as railway nodes and stations infrastructures in which the railway intervention is coordinated with civil networks.

STRATA's extremely high specialisation in the procurement and authorisation processes connected thereto makes it a "unicum" in the legal and operational consulting field for public and private employers.



### Environment

STRATA, based on a vocational decision and strategic choice, is deeply involved in the environmental infrastructural interventions, including the safeguard and security of the environment, the study and implementation of practical initiatives based on renewable energy sources.

The work group dedicated to the study and management of the specific authorisation processes provided for by the complex applicable legislation is particularly important and central within the company's organisation.

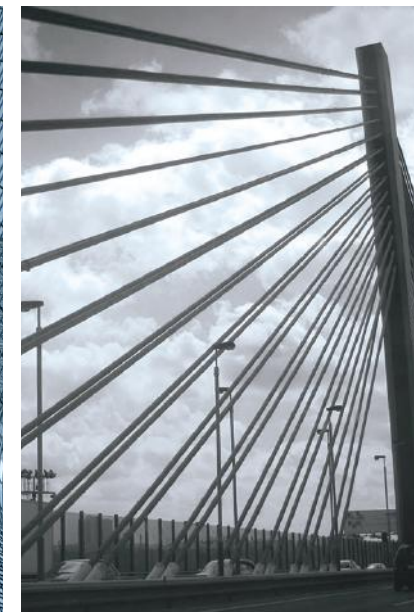


### Constructions

STRATA provides legal and administrative assistance in the construction field by granting its qualified services in relation to a wide variety of interventions and infrastructural projects, mainly in the public sector.

The company is particularly active in the **healthcare** and **penitentiary** sector, the **restoration of cultural heritage**, the construction of **barracks and port authorities, post offices**, etc.

The multidisciplinary specialisation of STRATA allows it to face, with efficiency and optimised results, all issues of legal and administrative nature related to the finalisation of building projects.



### Other Sectors

STRATA's professional support encompasses a wide array of infrastructural areas that span from **telecommunications**, to **aviation** and **nuclear decommissioning, including ongoing assistance** to the chambers of commerce, involved in companies' support.



## Compliance

According to Italian Legislative Decree no. 231 of 2001 (hereinafter the "Decree"), companies can be held legally responsible for several offences provided for by the same Decree, whether committed by its Directors, managers or employees in the interest or for the benefit of the company itself.

In order to prevent and handle the risks associated with the commission of the offences provided for by the above Decree, STRATA has adopted an "Organisational, Management and Control Model" which:

- Identifies the areas in which the risk of committing the offences provided for in the Decree are higher;
- Provides for specific procedures organising the company's decision making process in relation to the mentioned offences;
- Provides procedures for the management of the company's assets apt at hindering the commission of the above offences;
- Provides obligations to constantly inform the body entrusted with the vigilance of the Model's adequacy and implementation;
- Identifies an internal disciplinary system providing specific sanctions for the unfulfillments of the measures introduced by the Model.

The Model, adopted in 2012 by STRATA's Board of Directors, is periodically reviewed and updated to reflect any applicable legal amendment as well as any change within the Company's organisation and procedures.

### Ethical Code

In order to reflect the values inspiring its activity and to comply with the provisions of the Decree STRATA has also adopted an Ethical Code, setting forth the ethical principles regulating the company's activity. The Ethical Code, adopted by STRATA's Board in 2012, is subject to a constant monitoring and update.

### Legality Rating

Issued by the "Autorità Garante della Concorrenza e del Mercato" – the Italian Competition Authority – in accordance with article 5/ter of Law no. 62 of 2012.

### ISO 9001 Quality Certification

